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## AMENDMENT TRANSMITTAL LETTER

Docket No.  
SON-2599

Application No. 10/501,159-Conf. #5399	Filing Date July 13, 2004	Examiner T. A. Goma	Art Unit 2627
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Applicant(s): Tsutomu Watada et al.

Invention: Optical head device, and optical recording/reproducing device

### TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	22	- 22 =	0	x 50.00	0.00
Independent Claims	2	- 3 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					0.00

Large Entity

Small Entity

No additional fee is required for this amendment.

Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.  
A duplicate copy of this sheet is enclosed.

A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.

Payment by credit card. Form PTO-2038 is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 18-0013  
as described below. A duplicate copy of this sheet is enclosed.

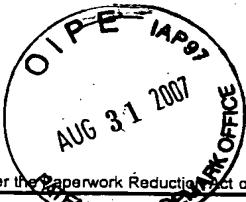
Credit any overpayment.

Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.

Dated: August 31, 2007

Ronald P. Kanaien  
Attorney/Agent Reg. No. 24,104

RADER, FISHMAN & GRAUER PLLC  
1233 20th Street, N.W.  
Suite 501  
Washington, DC 20036  
(202) 955-3750



PTO/SB/17 (07-06)

Approved for use through 01/31/2007. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). <b>FEETRANSMITTAL</b> <b>For FY 2006</b>		<b>Complete if Known</b>	
		Application Number	10/501,159-Conf. #5399
		Filing Date	July 13, 2004
		First Named Inventor	Tetsu WATANABE
		Examiner Name	T. A. Goma
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Art Unit	2627
<b>TOTAL AMOUNT OF PAYMENT</b> (\$ 100.00)		Attorney Docket No.	SON-2599

#### METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit Card	<input type="checkbox"/> Money Order	<input type="checkbox"/> None	<input type="checkbox"/> Other (please identify): _____
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: 18-0013 Deposit Account Name: Rader, Fishman & Grauer PLLC				

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

<input type="checkbox"/> Charge fee(s) indicated below	<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17	<input checked="" type="checkbox"/> Credit any overpayments

#### FEE CALCULATION

##### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

##### 2. EXCESS CLAIM FEES

###### Fee Description

Each claim over 20 (including Reissues)

Small Entity	
Fee (\$)	Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
22	- 20 = 2	x 50.00	= 100.00	
HP = highest number of total claims paid for, if greater than 20.				

HP = highest number of independent claims paid for, if greater than 3.

##### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
	- 100 = /50	(round up to a whole number) x		= Fees Paid (\$)

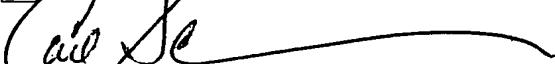
##### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

SUBMITTED BY	
Signature	
Name (Print/Type)	Registration No. (Attorney/Agent) Ronald P. Kananen Carl Schaukowitch
	Telephone (202) 955-3750
	Date March 8, 2007

OCT 9 2007  
AUG 31 2007  
PATENT AND TRADEMARK OFFICE

<b>AMENDMENT TRANSMITTAL LETTER</b>				Docket No. SON-2599	
Application No.	Filing Date	Examiner		Art Unit	
10/501,159-Conf. #5399	July 13, 2004	T. A. Goma		2627	
Applicant(s): Tetsu WATANABE et al.					
Invention: OPTICAL HEAD APPARATUS AND OPTICAL RECORDING/REPRODUCING APPARATUS					
<b>TO THE COMMISSIONER FOR PATENTS</b>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	22	- 20 =	2	x 50.00	100.00
Independent Claims	2	- 3 =	0	x 200.00	0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					
Other fee (please specify):					
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:</b>					100.00
<input checked="" type="checkbox"/> Large Entity			<input type="checkbox"/> Small Entity		
<input type="checkbox"/> No additional fee is required for this amendment.					
<input checked="" type="checkbox"/> Please charge Deposit Account No. <u>18-0013</u> in the amount of \$ <u>100.00</u> . A duplicate copy of this sheet is enclosed.					
<input type="checkbox"/> A check in the amount of \$ _____ to cover the filing fee is enclosed.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. <u>18-0013</u> as described below. A duplicate copy of this sheet is enclosed.					
<input checked="" type="checkbox"/> Credit any overpayment.					
<input checked="" type="checkbox"/> Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.					
 Ronald P. Kananen Attorney/Agent Reg. No.: 24,104					
Dated: <u>March 8, 2007</u>					
Carl Schaukowitch Attorney/Agent Reg. No.: 24,104					
RADER, FISHMAN & GRAUER PLLC 1233 20th Street, N.W. Suite 501 Washington, DC 20036 (202) 955-3750					



Docket No.: SON-2599  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Tsutomu Watada et al.

Application No.: 10/501,159

Confirmation No.: 5399

Filed: July 13, 2004

Art Unit: 2627

For: Optical head device, and optical  
recording/reproducing device

Examiner: T. A. Goma

**RESUBMISSION OF RESPONSE TO  
MARCH 8, 2007 NON-FINAL OFFICE ACTION**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant gratefully wishes to thank the Examiner for the telephone call of August 27, 2007 to discuss the submission of the response to the non-final Office Action mailed on January 23, 2007.

A Response Under 37 C.F.R. §1.111 to the Non-Final Office Action, a clean copy and red-lined copy of a substitute specification were filed on March 8, 2007. A copy of the Response, and the substitute specification, along with a copy of the postcard receipt, is provided herewith.

Acknowledgment and entry of the Response, and the substitute specification is respectfully requested.

Application No. 10/501,159  
Resubmission dated August 31, 2007  
Reply to Office Action of August 27, 2007

Docket No.: SON-2599

Please take these documents into account in the examination of this application and make their consideration of record.

If the Examiner has any comments or suggestions that could place this application in even better form, the Examiner is requested to telephone Ronald P. Kananen, Reg. No. 24,101 at 202-955-3750. If any fee is required, the Commissioner is hereby authorized to charge the fee to Deposit Account # 18-0013.

Applicant believes no fee is due with this response. However, if a fee is due, please charge our Deposit Account No. 18-0013, under Order No. SON-2599 from which the undersigned is authorized to draw.

Dated: August 31, 2007

Respectfully submitted,

By \_\_\_\_\_  
Ronald P. Kananen  
Registration No.: 24,104  
Carl Schaukowitch  
Registration No.: 29,211  
RADER, FISHMAN & GRAUER PLLC  
Correspondence Customer Number: 23353  
Attorneys for Applicant



Atty Docket No.: SON-2599  
(80001-2599)

Inventor: Tetsu WATANABE et al.

Application No.: 10/501,159-Conf. #5399      Filing Date: July 13, 2004  
Title: OPTICAL HEAD APPARATUS AND OPTICAL RECORDING/REPRODUCING APPARATUS

Documents Filed:

Fee Transmittal (1 page)

Amendment Transmittal (1 page)

Amendment in Response to Non-Final Office Action (7 pages)

Clean Version of Specification (68 pages)

Marked-up Version of Specification (70 pages)

Charge \$100.00 to deposit account 18-0013



Via: PTO Daily Run

Sender's Initials: RPK/CS/mab

Date: March 8, 2007

CS PD